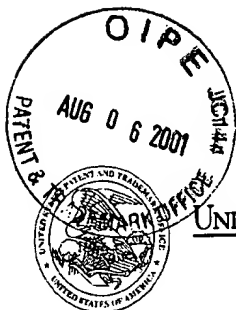


#3



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/814,464	03/21/2001	Andrew Daiber	NUFO 001

CONFIRMATION NO. 4117

## FORMALITIES LETTER



\*OC000000006172839\*

Robert C. Hall  
BOZICEVIC, FIELD & FRANCIS LLP  
Suite 200  
200 Middlefield Road  
Menlo Park, CA 94025

Date Mailed: 06/12/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/08/2001 BNGUYEN1 00000110 500815 09814464

FILED UNDER 37 CFR 1.53(b)

01 FC:101 710.00 CH  
02 FC:105 130.00 CH  
03 FC:103 684.00 CH  
04 FC:102 320.00 CH

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1040.
  - \$720 for 40 total claims over 20.
  - \$320 for 4 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1880.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

***A copy of this notice MUST be returned with the reply.***

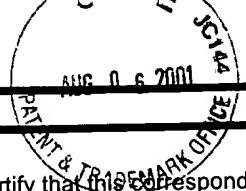
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

SS

SECRET



# CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Name (Print/Type)	Wilhelm A. Palmen Jr.	Signature	<i>Wilhelm A. Palmen Jr.</i>	Date	07-31-2001
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## Combined Transmittal and Fee Calculation Sheet

☐ Small Entity      ☒ Large Entity

Application Number	09/814,464
Confirmation Number	4117
Filing Date	March 21, 2001
First Named Inventor	Daiber, A.
Examiner	Unassigned
Group Art	2881
Attorney Docket No.	NUFO001

### ENCLOSED:

	Claims	No. of claims as filed or after amendment	Most claims previously paid for	# Extra Claims	Rate	Totals
<input checked="" type="checkbox"/> Amendment Under Rule	Total	58	20	38	18	\$ 684.00
<input checked="" type="checkbox"/> 37 CFR § 1.115	Independent	7	3	4	80	\$ 320.00
<input checked="" type="checkbox"/> Pages 3	Multiple					
Total Extra Claim Fees						\$ 1,004.00

☐ Extension of time from \_\_\_\_\_ to \_\_\_\_\_ Fee \_\_\_\_\_

### ☒ Response to File Missing Parts (with copy of formalities letter)

<input checked="" type="checkbox"/> Filing Fee		Fee	\$710.00
<input checked="" type="checkbox"/> Executed Declaration	Pages 3	Surcharge Fee	\$130.00
<input checked="" type="checkbox"/> Other	Submission of Formal Drawings (17 SHEETS TOTAL)	Fee	\$ -
		Fee	
		Fee	
		Fee	
Subtotal			\$840.00

### ☒ Information Disclosure Statement

<input checked="" type="checkbox"/> PTO Form 1449	Pages 1	
<input checked="" type="checkbox"/> 4 Copies of Cited References		
<input type="checkbox"/> Other		
		Fee
		Subtotal
		\$0.00

### ☐ Response to Notice to Comply (with copy of Notice to Comply)

<input type="checkbox"/> Sequence Listing Certification		
<input type="checkbox"/> Paper Copy of Sequence Listing	Pages	
<input type="checkbox"/> Diskette in computer-readable format		
<input type="checkbox"/> Other		
		Fee

<input type="checkbox"/> Terminal Disclaimer	Fee
<input type="checkbox"/> Appeal to Board of Appeals and Appeal Communication to Group	
<input type="checkbox"/> Notice of Appeal	Pages _____ Fee _____
<input type="checkbox"/> Appeal Brief in Triplicate	Pages _____ Fee _____
<input type="checkbox"/> Reply Brief	Pages _____ Fee _____
	Subtotal \$ -
<input type="checkbox"/> Issue Fee PTO 85B	Fee _____
<input type="checkbox"/> Advance copies _____	Fee _____
<input type="checkbox"/> Submission of Formal Drawings Transmittal with _____ sheets enclosed	
	Subtotal \$ -
<input type="checkbox"/> Other Enclosures and/or Fees _____	Fee _____
<input type="checkbox"/> Change of Correspondence Address _____	
<input checked="" type="checkbox"/> Return Receipt Postcard	
<b>TOTAL FEES</b>	<b>\$ 1,844.00</b>



**The Commissioner is authorized to charge any fees which may be required, or credit any overpayment to Deposit Account 50-0815. If additional fees are required, including extensions of time, please consider this a petition therefore. A duplicate copy of this transmittal is enclosed.**

**SIGNATURE OF APPLICANT, ATTORNEY OR AGENT REQUIRED**

Name (Print/Type)	Robert C. Hall	Registration No.	39,209
Signature			Date
Firm Name	Bozicevic, Field & Francis LLP	Address	200 Middlefield Road, Suite 200
City	Menlo Park	State	California
Telephone - Direct Dial	650-833-7772	zip	94025
		Facsimile	650-327-3231